

7. CORPORATE RISK REGISTERS: 2018/19 YEAR END AND 2019/20 PROPOSED (A91941/HW)

Purpose of the report

1. The purpose of this report is for Members to review the year end position for the 2018/19 Corporate Risk Register and approve the proposed Corporate Risk Register for 2019/20.

Key issues

- The 2019/20 Corporate Risk Register, once agreed, will be included in the 2019/20 Performance and Business Plan and will be monitored by this Committee on a quarterly basis as part of corporate performance monitoring.
- The proposed Corporate Risk Register for 2019/20 has been developed by the Leadership Team by:
 - Reviewing the 2018/19 corporate risk register year end position.
 - Considering risks that might prevent the achievement of year one of the 2019-24 corporate strategy.
 - Considering risks in service plans that need to be escalated and monitored at a corporate level.
 - Considering the external environment that we operate in.

Recommendations

2.
 1. **That the Corporate Risk Register 2019/20, as given in Appendix 1, be reviewed and approved, taking account of the year end position on the 2018/19 Corporate Risk Register given in Appendix 2.**

How does this contribute to our policies and legal obligations?

3. Risk management contributes to the cornerstone *Our organisation – develop our organisation* so we have a planned and sustained approach to performance at all levels. Additionally, risk management is part of our internal and external audit monitoring. Establishing and monitoring a Corporate Risk Register ensures mitigating action can be taken to ensure risks are controlled and managed down.

Background

4. In line with the arrangements set out in the Authority's risk policy, Appendix 1 shows the proposed Corporate Risk Register for 2019/20 as developed by the Leadership Team considering:
 - a) Risks that remain at the 2018/19 year end carry forward into 2019/20 but have been reassessed and redefined.
 - b) Risks identified during the service planning process that are considered appropriate to escalate for monitoring at a corporate level.
 - c) Other risks identified by the Senior Leadership Team, particularly through consideration of the focus of activity being undertaken in our 2019/20 year.
 - d) The external environment that we operate in.
5. Appendix 2 shows how 2018/19 risks have moved over the year with 4 risks remaining in Amber, 3 remaining in Red and all other risks managed down over the year. Those

remaining in Amber and Red are:

- Adverse exchange rate movements for Moorlife 2020 European funding
- Area of NP land safeguarded in agri-environment schemes reduces because of Brexit uncertainty and continuing issues with Countryside Stewardship
- Failure of the Birds of Prey initiative to deliver
- Failure to influence the transposing of EU laws and legislation for landscape and the environment into UK law after Article 50
- Lack of capacity in the Property Support team to develop and maintain our asset base
- Failure to inspire people to give to the Peak District National Park Authority
- The potential consequential impacts of implementing the New Pay Spine with effect from April 2019 (e.g. the erosion of pay differentials)

6. These remaining risks have been reassessed, refocussed and redefined in the proposed 2019/20 Corporate Risk Register as follows:

- Adverse exchange rate movements for Moorlife 2020 European funding
- Area of NP land safeguarded in environmental land management schemes reduces due to Brexit uncertainty and Countryside Stewardship issues leading to the potential loss of a range of grassland habitats
- Failure to achieve wildlife enhancement in the Peak District National Park
- Failure to achieve fundraising targets for the PDNP
- Lack of capacity in the Property Support Team to ensure our assets are developed, maintained and comply with health and safety legislation
- The potential consequential impacts of implementing the New Pay Spine with effect from April 2019

7. Four new risks have been added to the proposed 2019/20 Corporate Risk Register as follows:

- Failure of a poorly maintained trails structure e.g. bridge, tunnel
- Not meeting the necessary timescales to achieve active support by volunteers to support service delivery
- Failure to increase our audience's diversity both within and outside the National Park
- Cyber Security threats (such as hacking, ransomware, phishing, denial of service (DDoS), sabotage and theft) causing temporary or permanent loss of systems, loss of access to data, data loss and breach of data protection legislation.

8. We have categorised the risks into the following categories:

- Outcome/delivery risk
- Reputation risk
- Financial risk.

Proposals

9. Members are asked to:

- a) Consider the year end position of the 2018/19 Corporate Risk Register as given at Appendix 2.
- b) Agree the proposed 2019/20 Corporate Risk Register as given at Appendix 1 - this includes an initial assessment of where the risk sits on our risk matrix at the start of the year. Risks will be managed down over the year with quarterly monitoring reported to this committee.
- c) Note that the agreed 2019/20 Corporate Risk Register will be included in the 2019/20 Performance and Business Plan.

Are there any corporate implications members should be concerned about?

10. **Financial:** Some of the risks on the proposed register have financial implications as indicated.
11. **Risk Management:** The corporate risk register is a key part of the Authority's risk management process.
12. **Sustainability:** None identified.
13. **Background papers:** none

Appendices

1. Appendix 1: Proposed 2019/20 Corporate Risk Register
2. Appendix 2: 2018/19 Corporate Risk Register year end position showing movement from the start of the year

Report Author, Job Title and Publication Date

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